Pershing and IPG Fee Schedule (Brokerage and Advisory – Accounts)

Description	Billing Event	Total Charge	Frequency
Account Transfer	Automatic Outgoing Transfers*	\$100.00	Per Account
Account Transfer	Manual Transfer*	\$100.00	Per Account
Asset Movements	Insufficient Funds - Banking and Funds	\$20.00	Per Transaction
Asset Movements	Loan Processing	\$50.00	Per Event
Asset Movements	Payment Stop Error Fee	\$10.00	Per Event
Asset Movements	Stop Check Fee	\$10.00	Per item
Asset Movements	Void Check Error	\$20.00	Per Item
Asset Movements	Uncollected Fund Error	\$20.00	Per Item
IPG Annual Fee	Non-Retirements Account Maintenance	\$200.00	Per Account
IPG Annual Fee	Retirement Accounts Maintenance	\$125.00	Per Account
Bond Redemption Notification	Bond Call Notification	\$1.00	Per Item
Courier Fees	Overnight Check Delivery	\$12.00	Per Delivery
Courier Fees	Overnight Check Foreign Delivery	\$25.00	Per Delivery
Courier Fees	Overnight Check Saturday Delivery	\$18.00	Per Delivery
Credit Balance (Foreign Currency)	Free Credit Balance Charges	*****	Per Item
Custodial Fee	Inactive Account Fee (MF)	\$12.50	Annually
Custodial Fee	Inactive Account Fee (Mixed)	\$25.00	Annually
Dividend Reinvestment	Dividend Reinvestment	\$1.00	Per item
E Document Fees	1099 Tax Statement	\$2.00	Per Item
Execution Charges for IA Accounts only	Equities, Mutual Funds (net amount .01 - \$25,000)*/**	\$30.00	Per item
Execution Charges for IA Accounts only	Equities, Mutual Funds (net amount above \$25,001)*/**	\$40.00	Per Item
Execution Charges for IA Accounts only	Fixed Income */**	\$60.00	Per Item
Foreign Securities Execution Charges	Applicable by Country and/or currency Equities and ETFs	****	Per Item
Foreign Receive and Deliver - ACATs	Agent Back Fee - Local Market Delivery	\$50.00	Per Delivery
Foreign Receive and Deliver - ACATs	Agent Bank Fee - Euroclear Free Delivery	\$12.00	Per Delivery
Foreign Receive and Deliver - ACATs	Agent Bank Fee - Local Market Receive	\$50.00	Per Delivery
Foreign Receive and Deliver - ACATs	Agent Bank Fees- Euroclear Free Receives	\$12.00	Per Delivery

Foreign Receive and	Clearance Fee ACATs	\$50.00	Per Delivery
Deliver - ACATs			
Foreign Receive and	Euroclear ACATs	\$12.00	Per Delivery
Deliver - ACATs			
Good Until Cancel	GTC Cancel Print	\$1.00	Per Item
Notification	0705 : 15:	44.00	5 "
Good Until Cancel	GTC Expired Print	\$1.00	Per Item
Notification Good Until Cancel	GTC Open Print	\$1.00	Per Item
Notification	GTC Open Fillit	\$1.00	Per item
Margin Extension	Margin Extension	\$10.00	Per Event
Margin Extension	Margin Extension LA	\$10.00	Per Event
Pro-Cash Management	ACH Return Charge	\$20.00	Per Event
Redemptions	Bond Redemption	\$10.00	Per Redemption
Redemptions	MUNI Debt Defeased	\$10.00	Per Redemption
•		\$10.00	·
Redemptions	Physical Redemptions		Per Redemption
Redemptions	Security Pre-Refunded	\$10.00	Per Redemption
Reorganizations Mandatory	Mandatory Reorg Deposit	\$10.00	Per Event
Reorganizations	Mandatory Reorg Physical	\$10.00	Per Event
Mandatory			
Reorganizations	Mandatory Reverse Splits	\$10.00	Per Event
Mandatory			
Reorganizations	Mandatory Reverse Splits Physical	\$10.00	Per Event
Mandatory	Valuntary Conversions	¢50.00	Dor Event
Reorganizations Voluntary	Voluntary Conversions	\$50.00	Per Event
Reorganizations Voluntary	Voluntary Elections	\$50.00	Per Event
Reorganizations Voluntary	Voluntary Exchanges	\$50.00	Per Event
Reorganizations Voluntary	Voluntary Exercises	\$50.00	Per Event
Reorganizations Voluntary	Voluntary Reorg	\$50.00	Per Event
Retirement Cash Mgmt.	Ach Return Chg. Employer	\$20.00	Per Event
Retirement Cash Mgmt.	Ach Return Chg. Participant	\$20.00	Per Event
Retirement & Savings Accs	Flex PS Maint Fee	\$125.00	Annually
Retirement & Savings Accs	Flex 401k Maint Fee	\$125.00	Annually
Retirement & Savings Accs	Indiv (k) plan & Simplified 401k	\$75.00	Annually
Retirement & Savings Accs	IRA Maint Fee	\$35.00	Annually
Retirement & Savings Accs	MFO Maint Fee	\$10.00	Annually
Retirement & Savings Accs	Qualified Retirement Plan B1	\$50.00	Annually
Retirement & Savings Accs	Simplifies PS/MPP Maint Fee B1	\$75.00	Annually
Retirement & Savings Accs	Mutual Fund Only Conv Fee	\$50.00	Annually
Retirement & Savings Accs	Termination Fees B1	\$95.00	Annually
Safekeeping Fees	Domestic Safekeeping	\$5.00	Peer Item
Safekeeping Fees	Foreign Safekeeping	\$5.00	Per Item
Salekeehilig rees	I oreign salekeeping	المادد	renteni

Security Transfers GNMA Bonds \$135.00 Per Event Security Transfers Legal \$135.00 Per Event Security Transfers Restricted Legal \$135.00 Per Event Security Transfers Rem Transfers \$60.00 Per Event Security Transfers Accommodation Transfers \$60.00 Per Event Security Transfers GOVT Bonds UITS \$60.00 Per Event Security Transfers GOVT Bonds UITS \$60.00 Per Event Security Transfers Transfer and Ship \$60.00 Per Event DWAC Processing and Pass Through Fees DVAC Processing and Pass Through Fees \$5.00 Per Item DWAC Processing and Pass Through Fees Dwac DTC Withdrawal Pass Through \$5.00 Per Item Wire Fees USD Fed Wire* \$40.00 Per Item Wire Fees USD Fed Wire* \$40.00 Per Item Wire Fees USD NND NUSUSD WIRES TP5* \$15.00 Per Item NTF Trade Charges Ntf Show Ninimum Trade \$8.00 Per Trade NTF Trade Charges	Socurity Transfers	Drs Transfer	\$10.00	Per item
Security Transfers Legal \$135.00 Per Event Security Transfers Restricted Legal \$135.00 Per Event Security Transfers Rgm Transfers \$60.00 Per Event Security Transfers Accommodation Transfers \$60.00 Per Event Security Transfers CORP MUNI Bond Transfer and Ship \$60.00 Per Event Security Transfers GOVT Bonds UITS \$60.00 Per Event Security Transfers Transfer and Ship \$60.00 Per Event DWAC Processing and Pass Through Fees DVAC Processing and Pass Through Fees Powac DTC Deposit Pass Through \$3.00 Per Item DWAC Processing and Pass Through Fees Dwac DTC Withdrawal Pass Through \$5.00 Per Item Wire Fees USD Fed Wire* \$40.00 Per Item Wire Fees USD AND NON-USD WIRES TP5* \$15.00 Per Item NTF Trade Charges Ntf Below Minimum Trade \$8.00 Per Trade NTF Trade Charges Ntf Srs Echange Short-term Redemption \$5.00 Per Trade NTF Trade Charges Ntf Srs Short-term Redempti	Security Transfers		-	
Security Transfers Restricted Legal \$135.00 Per Event Security Transfers Rgm Transfers \$60.00 Per Event Security Transfers Accommodation Transfers \$60.00 Per Event Security Transfers CORP MUNI Bond Transfer and Ship \$60.00 Per Event Security Transfers GOVT Bonds UITS \$60.00 Per Event Security Transfers Transfer and Ship \$60.00 Per Event Security Transfers GOVT Bonds UITS \$60.00 Per Event Security Transfers GOVT Bonds UITS \$60.00 Per Item Wire Fees DTC Dvac Processing Pee \$5.00 Per Item Wire Fees USD AND NON-USD WIRES Through \$3.00 Per Item Wire Fees USD AND NON-USD WIRES Through \$40.00 Per Item Wire Fees USD AND NON-US				
Security Transfers Rgm Transfers \$60.00 Per Event Security Transfers Accommodation Transfers \$60.00 Per Event Security Transfers CORP MUNI Bond Transfer and Ship \$60.00 Per Event Security Transfers GOVT Bonds UITS \$60.00 Per Event Security Transfers Transfer and Ship \$60.00 Per Event DWAC Processing and Pass Through Fees DTC Dwac Processing Fee \$5.00 Per Item DWAC Processing and Pass Through Fees Dwac DTC Withdrawal Pass Through \$5.00 Per Item Wire Fees USD Fed Wire* \$40.00 Per Item Wire Fees USD Fed Wire* \$40.00 Per Item Wire Fees USD AND NON-USD WIRES TPS* \$15.00 Per Item NTF Trade Charges Ntf Short Term Redemptions \$50.00 Per Trade NTF Trade Charges Ntf Sro Exchange Short-term Redemption \$5.00 Per Trade NTF Trade Charges Ntf Srs Exchange Short-term Redemption \$5.00 Per Trade NTF Trade Charges Ntf Srs Short-term Redemption \$5.00 <t< td=""><td>·</td><td>-</td><td></td><td></td></t<>	·	-		
Security Transfers Accommodation Transfers \$60.00 Per Event Security Transfers CORP MUNI Bond Transfer and Ship \$60.00 Per Event Security Transfers GOVT Bonds UITS \$60.00 Per Event Security Transfers Transfer and Ship \$60.00 Per Event DWAC Processing and Pass Through Fees DTC Dwac Processing Fee \$5.00 Per Item DWAC Processing and Pass Through Fees Dwac DTC Deposit Pass Through \$3.00 Per Item Wire Fees USD Fed Wire* \$40.00 Per Item Wire Fees Non-USD Fed Wire* \$40.00 Per Item Wire Fees USD AND NON-USD WIRES TP5* \$15.00 Per Item Wire Fees Ntf Selow Minimum Trade \$8.00 Per Trade NTF Trade Charges Ntf Short Term Redemptions \$50.00 Per Trade NTF Trade Charges Ntf Srs Exchange Short-term Redemption \$5.00 Per Trade NTF Trade Charges Ntf Srs Short-term Redemption \$5.00 Per Trade NTF Trade Charges Ntf Srs Short-term Redemption \$5.00 Per Tr	•	-		
Security Transfers CORP MUNI Bond Transfer and Ship \$60.00 Per Event Security Transfers GOVT Bonds UITS \$60.00 Per Event DWAC Processing and Pass Through Fees DTC Dwac Processing Fee \$5.00 Per Item DWAC Processing and Pass Through Fees Dwac DTC Deposit Pass Through \$3.00 Per Item DWAC Processing and Pass Through Fees Dwac DTC Withdrawal Pass Through \$5.00 Per Item DWAC Processing and Pass Through Fees Dwac DTC Withdrawal Pass Through \$5.00 Per Item Wire Fees USD Fed Wire* \$40.00 Per Item Wire Fees USD Fed Wire* \$40.00 Per Item Wire Fees USD AND NON-USD WIRES TPS* \$15.00 Per Item Wire Fees USD AND NON-USD WIRES TPS* \$15.00 Per Item NTF Trade Charges Ntf Short Term Redemptions \$50.00 Per Trade NTF Trade Charges Ntf Srs Exchange Short-term Redemption \$5.00 Per Trade NTF Trade Charges Ntf Srs Exchange Short-term Redemption \$5.00 Per Trade NTF Trade Charges Ntf Srs Sh	<u> </u>		· .	
Security Transfers GOVT Bonds UITS \$60.00 Per Event Security Transfers Transfer and Ship \$60.00 Per Event DWAC Processing and Pass Through Fees DTC Dwac Processing Fee \$5.00 Per Item DWAC Processing and Pass Through Fees Dwac DTC Deposit Pass Through \$3.00 Per Item DWAC Processing and Pass Through Fees Dwac DTC Withdrawal Pass Through \$5.00 Per Item Wire Fees USD Fed Wire* \$40.00 Per Item Wire Fees USD Fed Wire* \$40.00 Per Item Wire Fees USD AND NON-USD WIRES TP5* \$15.00 Per Item Wire Fees USD AND NON-USD WIRES TP5* \$15.00 Per Item NTF Trade Charges Ntf Short Term Redemptions \$50.00 Per Trade NTF Trade Charges Ntf Srs Below Minimum Trade \$6.00 Per Trade NTF Trade Charges Ntf Srs Skchange Short-term Redemption \$5.00 Per Trade NTF Trade Charges Ntf Srs Short-term Redemption \$5.00 Per Trade NTF Trade Charges Ntf Srs Short-term Redemption \$5.00	· ·			
Security Transfers				
DWAC Processing and Pass Through Fees Drace Drocessing and Pass Through Fees \$5.00 Per Item DWAC Processing and Pass Through Fees Dwac DTC Deposit Pass Through \$3.00 Per Item DWAC Processing and Pass Through Fees Dwac DTC Withdrawal Pass Through \$5.00 Per Item Wire Fees USD Fed Wire* \$40.00 Per Item Wire Fees Non-USD Fed Wire* \$40.00 Per Item Wire Fees USD AND NON-USD WIRES TPS* \$15.00 Per Item Wire Fees USD AND NON-USD WIRES TPS* \$15.00 Per Item NTF Trade Charges Ntf Below Minimum Trade \$8.00 Per Trade NTF Trade Charges Ntf Srs Below Minimum Trade \$6.00 Per Trade NTF Trade Charges Ntf Srs Exchange Short-term Redemption \$5.00 Per Trade NTF Trade Charges Ntf Srs Short-term Redemption \$5.00 Per Trade NTF Trade Charges Ntf Srs Short-term Redemption \$5.00 Per Trade NTF Trade Charges Ntf Srs Short-term Redemption \$5.00 Per Trade NTF Trade Charges Ntf Srs Selow Annual	•			
Pass Through Fees Dwac DTC Deposit Pass Through \$3.00 Per Item DWAC Processing and Pass Through Fees Dwac DTC Withdrawal Pass Through \$5.00 Per Item DWAC Processing and Pass Through Fees Dwac DTC Withdrawal Pass Through \$5.00 Per Item Wire Fees USD Fed Wire* \$40.00 Per Item Wire Fees Non-USD Fed Wire* \$40.00 Per Item Wire Fees USD AND NON-USD WIRES TPS* \$15.00 Per Item Wire Fees USD AND NON-USD WIRES TPS* \$15.00 Per Item Wire Fees USD AND NON-USD WIRES TPS* \$15.00 Per Item Wire Fees USD AND NON-USD WIRES TPS* \$15.00 Per Item Wire Fees USD AND NON-USD WIRES TPS* \$15.00 Per Item Wire Fees USD AND NON-USD WIRES TPS* \$15.00 Per Item Wire Fees USD AND NON-USD WIRES TPS* \$15.00 Per Item Wire Fees USD AND NON-USD WIRES TPS* \$15.00 Per Item Wire Fees Wife State State Wire Redemption \$5.00 Per Trade Ntf	Security Transfers	Transfer and Ship	\$60.00	Per Event
DWAC Processing and Pass Through Fees Dwac DTC Deposit Pass Through \$3.00 Per Item DWAC Processing and Pass Through Fees Dwac DTC Withdrawal Pass Through \$5.00 Per Item Wire Fees USD Fed Wire* \$40.00 Per Item Wire Fees Non-USD Fed Wire* \$40.00 Per Item Wire Fees USD AND NON-USD WIRES TP5* \$15.00 Per Item NTF Trade Charges Ntf Below Minimum Trade \$8.00 Per Trade NTF Trade Charges Ntf Srost Term Redemptions \$50.00 Per Redemption NTF Trade Charges Ntf Srs Below Minimum Trade \$6.00 Per Trade NTF Trade Charges Ntf Srs Schant-term Redemption \$5.00 Per Trade NTF Trade Charges Ntf Srs Short-term Redemption \$5.00 Per Trade NTF Trade Charges Ntf Srs Short-term Redemption \$5.00 Per Trade Corestone Annual Fees Gold Account Annual Fee* \$150.00 Annually Corestone Annual Fees Corporate Gold Account Annual Fee \$150.00 Annually Corestone Annual Fees Silver Plus Account Annual Fee		DTC Dwac Processing Fee	\$5.00	Per Item
Pass Through Fees DWAC Processing and Pass Through Fees Wire Fees USD Fed Wire* Wire Fees USD AND NON-USD WIRES TP5* Ntf Below Minimum Trade NTF Trade Charges Ntf Short Term Redemptions NTF Trade Charges Ntf Srs Below Minimum Trade NTF Trade Charges Ntf Srs Exchange Short-term Redemption Strade Charges Ntf Srs Exchange Short-term Redemption Strade Charges Ntf Srs Short-term Redemption Strade Charges Ntf Srs Exchange Short-term Redemption NTF Trade Charges Ntf Srs Exchange Short-term Redemption NTF Trade Charges Ntf Srs Exchange Short-term Redemption Strade Charges Ntf Srs Short-term Redemption Strade NTF Trade Charges Ntf Srs Short-term Redemption Strade NTF Trade Charges Ntf Srs Short-term Redemption Strade NTF Trade Charges Ordestone Annual Fees Gold Account Annual Fee* Strade Str			4	
Pass Through FeesUSD Fed Wire*\$40.00Per ItemWire FeesNon-USD Fed Wire*\$40.00Per ItemWire FeesUSD AND NON-USD WIRES TP5*\$15.00Per ItemNTF Trade ChargesNtf Below Minimum Trade\$8.00Per TradeNTF Trade ChargesNtf Son Term Redemptions\$50.00Per RedemptionNTF Trade ChargesNtf Srs Below Minimum Trade\$6.00Per TradeNTF Trade ChargesNtf Srs Exchange Short-term Redemption\$5.00Per TradeNTF Trade ChargesNtf Srs Short-term Redemption\$5.00Per TradeCorestone Annual FeesGold Account Annual Fee*\$150.00AnnuallyCorestone Annual FeesCorporate Gold Account Annual Fee\$150.00AnnuallyCorestone Annual FeesPlatinum Account Annual Fee\$150.00AnnuallyCorestone Annual FeesSilver Account Annual Fee\$250.00AnnuallyCorestone Annual FeesSilver Account Annual Fee\$250.00Peer YearCorestone Annual FeesSilver Plus Account Annual Fee*\$75.00AnnuallyProcash Fees AdditionalBusiness Initial Check Order\$50.00Per OrderProcash Fees AdditionalCarbon Copy Check Re-Order Checkbooks\$25.00Per OrderProcash Fees AdditionalCarbon Copy Check Re-Order Checkbooks\$25.00Per TransactionProcash Fees AdditionalGold Check Reorder*\$17.50Per Re-OrderProcash Fees AdditionalInitial Reorder of Pcp Carbon Copy Ck Rq\$15.00Per Peckage<	_	Dwac DTC Deposit Pass Through	\$3.00	Per Item
Wire FeesUSD Fed Wire*\$40.00Per ItemWire FeesNon-USD Fed Wire*\$40.00Per ItemWire FeesUSD AND NON-USD WIRES TP5*\$15.00Per ItemNTF Trade ChargesNtf Below Minimum Trade\$8.00Per TradeNTF Trade ChargesNtf Short Term Redemptions\$50.00Per RedemptionNTF Trade ChargesNtf Srs Below Minimum Trade\$6.00Per TradeNTF Trade ChargesNtf Srs Exchange Short-term Redemption\$5.00Per TradeNTF Trade ChargesNtf Srs Short-term Redemption\$5.00Per TradeNTF Trade ChargesNtf Srs Short-term Redemption\$5.00AnnuallyCorestone Annual FeesGold Account Annual Fee*\$150.00AnnuallyCorestone Annual FeesCorporate Gold Account Annual Fee\$150.00AnnuallyCorestone Annual FeesPeltinum Account Annual Fee\$150.00AnnuallyCorestone Annual FeesSilver Account Annual Fee\$25.00Peer YearCorestone Annual FeesSilver Plus Account Annual Fee\$25.00Peer YearCorestone Annual FeesSilver Plus Account Annual Fee*\$75.00AnnuallyProcash Fees AdditionalBusiness Initial Check Order\$50.00Per OrderProcash Fees AdditionalCarbon Copy Check Re-Order Checkbook\$40.00Per OrderProcash Fees AdditionalCarbon Copy Check Re-Order Checkbooks\$25.00Per TransactionProcash Fees AdditionalGold Check Reorder*\$17.50Per Re-OrderProcash Fees Addition	DWAC Processing and	Dwac DTC Withdrawal Pass Through	\$5.00	Per Item
Wire FeesNon-USD Fed Wire*\$40.00Per ItemWire FeesUSD AND NON-USD WIRES TP5*\$15.00Per ItemNTF Trade ChargesNtf Below Minimum Trade\$8.00Per TradeNTF Trade ChargesNtf Short Term Redemptions\$50.00Per RedemptionNTF Trade ChargesNtf Srs Below Minimum Trade\$6.00Per TradeNTF Trade ChargesNtf Srs Exchange Short-term Redemption\$5.00Per TradeNTF Trade ChargesNtf Srs Short-term Redemption\$5.00Per TradeNTF Trade ChargesNtf Srs Short-term Redemption\$5.00AnnuallyCorestone Annual FeesGold Account Annual Fee*\$150.00AnnuallyCorestone Annual FeesCorporate Gold Account Annual Fee\$150.00AnnuallyCorestone Annual FeesPlatinum Account Annual Fee\$250.00AnnuallyCorestone Annual FeesSilver Account Annual Fee\$250.00AnnuallyCorestone Annual FeesSilver Plus Account Annual Fee*\$75.00AnnuallyProcash Fees AdditionalBusiness Initial Check Order\$50.00Per OrderProcash Fees AdditionalBusiness Style Re-order Checkbook\$40.00Per OrderProcash Fees AdditionalCarbon Copy Check Re-Order Checkbooks\$25.00Per TransactionProcash Fees AdditionalCash Advance Transaction Fee (Non-ATM)0.25%Per TransactionProcash Fees AdditionalGold Check Reorder*\$17.50Per Re-OrderProcash Fees AdditionalInitial Reorder of Pcp Carbon Copy Ck Rq\$15.00 <td>Pass Through Fees</td> <td>_</td> <td></td> <td></td>	Pass Through Fees	_		
Wire FeesUSD AND NON-USD WIRES TP5*\$15.00Per ItemNTF Trade ChargesNtf Below Minimum Trade\$8.00Per TradeNTF Trade ChargesNtf Short Term Redemptions\$50.00Per RedemptionNTF Trade ChargesNtf Srs Below Minimum Trade\$6.00Per TradeNTF Trade ChargesNtf Srs Exchange Short-term Redemption\$5.00Per TradeNTF Trade ChargesNtf Srs Short-term Redemption\$5.00Per TradeNTF Trade ChargesNtf Srs Short-term Redemption\$5.00AnnuallyCorestone Annual FeesGold Account Annual Fee*\$150.00AnnuallyCorestone Annual FeesCorporate Gold Account Annual Fee\$150.00AnnuallyCorestone Annual FeesPlatinum Account Annual Fee\$250.00AnnuallyCorestone Annual FeesSilver Account Annual Fee*\$25.00Peer YearCorestone Annual FeesSilver Plus Account Annual Fee*\$75.00AnnuallyProcash Fees AdditionalBusiness Initial Check Order\$50.00Per OrderProcash Fees AdditionalBusiness Style Re-order Checkbook\$40.00Per OrderProcash Fees AdditionalCarbon Copy Check Re-Order Checkbooks\$25.00Per TransactionProcash Fees AdditionalCopy Retrieval Resource Checking and Procash Fees Additional\$2.50Per TransactionProcash Fees AdditionalInitial Reorder of Pcp Carbon Copy Ck Rq\$15.00Per Re-OrderProcash Fees AdditionalInitial Reorder of Pcp Carbon Copy Ck Rq\$15.00Per Re-OrderProca	Wire Fees	USD Fed Wire*	\$40.00	Per Item
NTF Trade Charges	Wire Fees	Non-USD Fed Wire*	\$40.00	Per Item
NTF Trade Charges Ntf Short Term Redemptions NTF Trade Charges Ntf Srs Below Minimum Trade NTF Trade Charges Ntf Srs Exchange Short-term Redemption NTF Trade Charges Ntf Srs Exchange Short-term Redemption NTF Trade Charges Ntf Srs Short-term Redemption S5.00 NTF Trade Charges Ntf Srs Exchange Short-term Redemption S5.00 NTF Trade Charges Ntf Srs Exchange Short-term Redemption S5.00 NTF Trade Charges Ntf Srs Below Minimum Trade Ntf Srs Exchange Short-term Redemption S5.00 NTF Trade Charges Ntf Srs Below Minimum Trade Ntf Srs Stono Ntf Srs Stono Ntf Srs Exchange Stono Ntf Srs Short-term Redemption Ntf Srs Stono Ntf Stono Ntmally	Wire Fees	USD AND NON-USD WIRES TP5*	\$15.00	Per Item
NTF Trade Charges Ntf Srs Below Minimum Trade NTF Trade Charges Ntf Srs Exchange Short-term Redemption NTF Trade Charges Ntf Srs Short-term Redemption S5.00 Per Trade NTF Trade Charges Ntf Srs Short-term Redemption S5.00 Corestone Annual Fees Gold Account Annual Fee* S150.00 Annually Corestone Annual Fees Platinum Account Annual Fee S150.00 Annually Corestone Annual Fees Corporate Platinum Account Annual Fee S150.00 Corestone Annual Fees Corporate Platinum Account Annual Fee S150.00 Corestone Annual Fees Silver Account Annual Fee S150.00 Corestone Annual Fees Silver Account Annual Fee S150.00 Corestone Annual Fees Silver Plus Account Annual Fee* S150.00 Peer Year Corestone Annual Fees Silver Plus Account Annual Fee* S50.00 Per Order Procash Fees Additional Business Initial Check Order Procash Fees Additional Business Style Re-order Checkbook S25.00 Per Order Procash Fees Additional Carbon Copy Check Re-Order Checkbooks S25.00 Per Order Procash Fees Additional Copy Retrieval Resource Checking and Procash Fees Additional Copy Retrieval Resource Checking and Procash Fees Additional Initial Reorder of Pcp Carbon Copy Ck Rq S17.50 Per Re-Order Procash Fees Additional Procash Fees Additional Procash Fees Additional Reorder of Pcp Carbon Copy Ck Rq S17.50 Per Re-Order Procash Fees Additional Platinum Check Reorder* S17.50 Per Re-Order Procash Fees Additional Reorder Business Style Check Binder S25.00 Per Item	NTF Trade Charges	Ntf Below Minimum Trade	\$8.00	Per Trade
NTF Trade Charges Ntf Srs Exchange Short-term Redemption NTF Trade Charges Ntf Srs Short-term Redemption S5.00 Corestone Annual Fees Gold Account Annual Fee* S150.00 Annually Corestone Annual Fees Corporate Gold Account Annual Fee Platinum Account Annual Fee S150.00 Annually Corestone Annual Fees Corporate Platinum Account Annual Fee S150.00 Annually Corestone Annual Fees Corporate Platinum Account Annual Fee S150.00 Annually Corestone Annual Fees Silver Account Annual Fee S150.00 Corestone Annual Fees Silver Plus Account Annual Fee* S150.00 Peer Year Corestone Annual Fees Silver Plus Account Annual Fee* S50.00 Peer Order Procash Fees Additional Business Initial Check Order Procash Fees Additional Business Style Re-order Checkbook S250.00 Per Order Procash Fees Additional Carbon Copy Check Re-Order Checkbooks S25.00 Per Order Procash Fees Additional Copy Retrieval Resource Checking and Procash Fees Additional Copy Retrieval Resource Checking and Procash Fees Additional Gold Check Reorder* S17.50 Per Re-Order Procash Fees Additional Initial Reorder of Pcp Carbon Copy Ck Rq S17.50 Per Re-Order Procash Fees Additional Platinum Check Reorder* S17.50 Per Re-Order Procash Fees Additional Reorder Business Style Check Binder S25.00 Per Item	NTF Trade Charges	Ntf Short Term Redemptions	\$50.00	Per Redemption
NTF Trade Charges	NTF Trade Charges	Ntf Srs Below Minimum Trade	\$6.00	Per Trade
Corestone Annual Fees Gold Account Annual Fee* \$150.00 Annually Corestone Annual Fees Corporate Gold Account Annual Fee \$150.00 Annually Corestone Annual Fees Platinum Account Annual Fee \$150.00 Annually Corestone Annual Fees Corporate Platinum Account Annual Fee \$250.00 Annually Corestone Annual Fees Silver Account Annual Fee \$250.00 Annually Corestone Annual Fees Silver Account Annual Fee \$25.00 Peer Year Corestone Annual Fees Silver Plus Account Annual Fee* \$75.00 Annually Procash Fees Additional Business Initial Check Order \$50.00 Per Order Procash Fees Additional Business Style Re-order Checkbook \$40.00 Per Order Procash Fees Additional Carbon Copy Check Re-Order Checkbooks \$25.00 Per Order Procash Fees Additional Cash Advance Transaction Fee (Non-ATM) 0.25% Per Transaction Procash Fees Additional Copy Retrieval Resource Checking and Procash Plus Procash Fees Additional Gold Check Reorder* \$17.50 Per Re-Order Procash Fees Additional Initial Reorder of Pcp Carbon Copy Ck Rq \$15.00 Per Re-Order Procash Fees Additional Overnight Mailing Customer \$20.00 Per Package Procash Fees Additional Platinum Check Reorder* \$17.50 Per Re-Order Procash Fees Additional Reorder Business Style Check Binder \$20.00 Per Order Procash Fees Additional Resource Checking Return Check \$25.00 Per Item	NTF Trade Charges	Ntf Srs Exchange Short-term Redemption	\$5.00	Per Trade
Corestone Annual Fees	NTF Trade Charges	Ntf Srs Short-term Redemption	\$5.00	
Corestone Annual Fees Corporate Platinum Account Annual Fee \$150.00 Annually Corestone Annual Fees Corporate Platinum Account Annual Fee \$250.00 Annually Corestone Annual Fees Silver Account Annual Fee \$25.00 Peer Year Corestone Annual Fees Silver Plus Account Annual Fee* \$75.00 Annually Procash Fees Additional Business Initial Check Order \$50.00 Per Order Procash Fees Additional Business Style Re-order Checkbook \$40.00 Per Order Procash Fees Additional Carbon Copy Check Re-Order Checkbooks \$25.00 Per Order Procash Fees Additional Cash Advance Transaction Fee (Non-ATM) 0.25% Per Transaction Procash Fees Additional Copy Retrieval Resource Checking and Procash Fees Additional Gold Check Reorder* \$17.50 Per Re-Order Procash Fees Additional Initial Reorder of Pcp Carbon Copy Ck Rq \$15.00 Per Re-Order Procash Fees Additional Overnight Mailing Customer \$20.00 Per Package Procash Fees Additional Reorder Business Style Check Binder \$20.00 Per Order Procash Fees Additional Resource Checking Return Check \$25.00 Per Item	Corestone Annual Fees	Gold Account Annual Fee*	\$150.00	Annually
Corestone Annual Fees Corporate Platinum Account Annual Fee \$250.00 Annually Corestone Annual Fees Silver Account Annual Fee \$25.00 Peer Year Corestone Annual Fees Silver Plus Account Annual Fee* \$75.00 Annually Procash Fees Additional Business Initial Check Order \$50.00 Per Order Procash Fees Additional Business Style Re-order Checkbook \$40.00 Per Order Procash Fees Additional Carbon Copy Check Re-Order Checkbooks \$25.00 Per Order Procash Fees Additional Cash Advance Transaction Fee (Non-ATM) 0.25% Per Transaction Procash Fees Additional Copy Retrieval Resource Checking and \$2.50 Per Copy Procash Fees Additional Gold Check Reorder* \$17.50 Per Re-Order Procash Fees Additional Initial Reorder of Pcp Carbon Copy Ck Rq \$15.00 Per Re-Order Procash Fees Additional Overnight Mailing Customer \$20.00 Per Package Procash Fees Additional Platinum Check Reorder* \$17.50 Per Re-Order Procash Fees Additional Reorder Business Style Check Binder \$20.00 Per Order Procash Fees Additional Resource Checking Return Check \$25.00 Per Item	Corestone Annual Fees	Corporate Gold Account Annual Fee	\$150.00	Annually
Corestone Annual Fees Silver Account Annual Fee \$25.00 Peer Year Corestone Annual Fees Silver Plus Account Annual Fee* \$75.00 Annually Procash Fees Additional Business Initial Check Order \$50.00 Per Order Procash Fees Additional Business Style Re-order Checkbook \$40.00 Per Order Procash Fees Additional Carbon Copy Check Re-Order Checkbooks \$25.00 Per Order Procash Fees Additional Cash Advance Transaction Fee (Non-ATM) 0.25% Per Transaction Procash Fees Additional Copy Retrieval Resource Checking and Procash Plus Procash Fees Additional Gold Check Reorder* \$17.50 Per Re-Order Procash Fees Additional Initial Reorder of Pcp Carbon Copy Ck Rq \$15.00 Per Re-Order Procash Fees Additional Overnight Mailing Customer \$20.00 Per Package Procash Fees Additional Platinum Check Reorder* \$17.50 Per Re-Order Procash Fees Additional Reorder Business Style Check Binder \$20.00 Per Order Procash Fees Additional Resource Checking Return Check \$25.00 Per Item	Corestone Annual Fees	Platinum Account Annual Fee	\$150.00	Annually
Corestone Annual Fees Procash Fees Additional Business Initial Check Order Procash Fees Additional Business Style Re-order Checkbook Procash Fees Additional Carbon Copy Check Re-Order Checkbooks Per Order Cash Advance Transaction Fee (Non-ATM) Procash Fees Additional Copy Retrieval Resource Checking and Procash Fees Additional Cold Check Reorder* Procash Fees Additional Cold Check Reorder* Procash Fees Additional Covernight Mailing Customer Procash Fees Additional Procash Fees Additional Procash Fees Additional Reorder Business Style Check Binder Procash Fees Additional Resource Checking Return Check Procash Fees Additional Platinum Check Reorder* Procash Fees Additional Resource Checking Return Check \$25.00 Per Order Per Copy Per Re-Order \$20.00 Per Package Per Corder \$20.00 Per Package Per Corder	Corestone Annual Fees	Corporate Platinum Account Annual Fee	\$250.00	Annually
Procash Fees AdditionalBusiness Initial Check Order\$50.00Per OrderProcash Fees AdditionalBusiness Style Re-order Checkbook\$40.00Per OrderProcash Fees AdditionalCarbon Copy Check Re-Order Checkbooks\$25.00Per OrderProcash Fees AdditionalCash Advance Transaction Fee (Non-ATM)0.25%Per TransactionProcash Fees AdditionalCopy Retrieval Resource Checking and Procash Plus\$2.50Per CopyProcash Fees AdditionalGold Check Reorder*\$17.50Per Re-OrderProcash Fees AdditionalInitial Reorder of Pcp Carbon Copy Ck Rq\$15.00Per Re-OrderProcash Fees AdditionalOvernight Mailing Customer\$20.00Per PackageProcash Fees AdditionalPlatinum Check Reorder*\$17.50Per Re-OrderProcash Fees AdditionalReorder Business Style Check Binder\$20.00Per OrderProcash Fees AdditionalResource Checking Return Check\$25.00Per Item	Corestone Annual Fees	Silver Account Annual Fee	\$25.00	Peer Year
Procash Fees AdditionalBusiness Style Re-order Checkbook\$40.00Per OrderProcash Fees AdditionalCarbon Copy Check Re-Order Checkbooks\$25.00Per OrderProcash Fees AdditionalCash Advance Transaction Fee (Non-ATM)0.25%Per TransactionProcash Fees AdditionalCopy Retrieval Resource Checking and Procash Plus\$2.50Per CopyProcash Fees AdditionalGold Check Reorder*\$17.50Per Re-OrderProcash Fees AdditionalInitial Reorder of Pcp Carbon Copy Ck Rq\$15.00Per Re-OrderProcash Fees AdditionalOvernight Mailing Customer\$20.00Per PackageProcash Fees AdditionalPlatinum Check Reorder*\$17.50Per Re-OrderProcash Fees AdditionalReorder Business Style Check Binder\$20.00Per OrderProcash Fees AdditionalResource Checking Return Check\$25.00Per Item	Corestone Annual Fees	Silver Plus Account Annual Fee*	\$75.00	Annually
Procash Fees AdditionalBusiness Style Re-order Checkbook\$40.00Per OrderProcash Fees AdditionalCarbon Copy Check Re-Order Checkbooks\$25.00Per OrderProcash Fees AdditionalCash Advance Transaction Fee (Non-ATM)0.25%Per TransactionProcash Fees AdditionalCopy Retrieval Resource Checking and Procash Plus\$2.50Per CopyProcash Fees AdditionalGold Check Reorder*\$17.50Per Re-OrderProcash Fees AdditionalInitial Reorder of Pcp Carbon Copy Ck Rq\$15.00Per Re-OrderProcash Fees AdditionalOvernight Mailing Customer\$20.00Per PackageProcash Fees AdditionalPlatinum Check Reorder*\$17.50Per Re-OrderProcash Fees AdditionalReorder Business Style Check Binder\$20.00Per OrderProcash Fees AdditionalResource Checking Return Check\$25.00Per Item	Procash Fees Additional	Business Initial Check Order	\$50.00	Per Order
Procash Fees AdditionalCarbon Copy Check Re-Order Checkbooks\$25.00Per OrderProcash Fees AdditionalCash Advance Transaction Fee (Non-ATM)0.25%Per TransactionProcash Fees AdditionalCopy Retrieval Resource Checking and Procash Plus\$2.50Per CopyProcash Fees AdditionalGold Check Reorder*\$17.50Per Re-OrderProcash Fees AdditionalInitial Reorder of Pcp Carbon Copy Ck Rq\$15.00Per Re-OrderProcash Fees AdditionalOvernight Mailing Customer\$20.00Per PackageProcash Fees AdditionalPlatinum Check Reorder*\$17.50Per Re-OrderProcash Fees AdditionalReorder Business Style Check Binder\$20.00Per OrderProcash Fees AdditionalResource Checking Return Check\$25.00Per Item	Procash Fees Additional	Business Style Re-order Checkbook		Per Order
Procash Fees AdditionalCash Advance Transaction Fee (Non-ATM)0.25%Per TransactionProcash Fees AdditionalCopy Retrieval Resource Checking and Procash Plus\$2.50Per CopyProcash Fees AdditionalGold Check Reorder*\$17.50Per Re-OrderProcash Fees AdditionalInitial Reorder of Pcp Carbon Copy Ck Rq\$15.00Per Re-OrderProcash Fees AdditionalOvernight Mailing Customer\$20.00Per PackageProcash Fees AdditionalPlatinum Check Reorder*\$17.50Per Re-OrderProcash Fees AdditionalReorder Business Style Check Binder\$20.00Per OrderProcash Fees AdditionalResource Checking Return Check\$25.00Per Item	Procash Fees Additional	•		Per Order
Procash Fees Additional Copy Retrieval Resource Checking and Procash Fees Additional Gold Check Reorder* \$17.50 Per Re-Order Procash Fees Additional Initial Reorder of Pcp Carbon Copy Ck Rq \$15.00 Per Re-Order Procash Fees Additional Overnight Mailing Customer \$20.00 Per Package Procash Fees Additional Platinum Check Reorder* \$17.50 Per Re-Order Procash Fees Additional Reorder Business Style Check Binder \$20.00 Per Order Procash Fees Additional Resource Checking Return Check \$25.00 Per Item			· ·	
Procash Plus Procash Fees Additional Gold Check Reorder* \$17.50 Per Re-Order Procash Fees Additional Initial Reorder of Pcp Carbon Copy Ck Rq \$15.00 Per Re-Order Procash Fees Additional Overnight Mailing Customer \$20.00 Per Package Procash Fees Additional Platinum Check Reorder* \$17.50 Per Re-Order Procash Fees Additional Reorder Business Style Check Binder \$20.00 Per Order Procash Fees Additional Resource Checking Return Check \$25.00 Per Item		· · · · · · · · · · · · · · · · · · ·		
Procash Fees AdditionalInitial Reorder of Pcp Carbon Copy Ck Rq\$15.00Per Re-OrderProcash Fees AdditionalOvernight Mailing Customer\$20.00Per PackageProcash Fees AdditionalPlatinum Check Reorder*\$17.50Per Re-OrderProcash Fees AdditionalReorder Business Style Check Binder\$20.00Per OrderProcash Fees AdditionalResource Checking Return Check\$25.00Per Item		· · ·	' ' ' ' ' '	
Procash Fees AdditionalOvernight Mailing Customer\$20.00Per PackageProcash Fees AdditionalPlatinum Check Reorder*\$17.50Per Re-OrderProcash Fees AdditionalReorder Business Style Check Binder\$20.00Per OrderProcash Fees AdditionalResource Checking Return Check\$25.00Per Item	Procash Fees Additional	Gold Check Reorder*	\$17.50	Per Re-Order
Procash Fees AdditionalPlatinum Check Reorder*\$17.50Per Re-OrderProcash Fees AdditionalReorder Business Style Check Binder\$20.00Per OrderProcash Fees AdditionalResource Checking Return Check\$25.00Per Item	Procash Fees Additional	Initial Reorder of Pcp Carbon Copy Ck Rq	\$15.00	Per Re-Order
Procash Fees AdditionalReorder Business Style Check Binder\$20.00Per OrderProcash Fees AdditionalResource Checking Return Check\$25.00Per Item	Procash Fees Additional	Overnight Mailing Customer	\$20.00	Per Package
Procash Fees Additional Resource Checking Return Check \$25.00 Per Item	Procash Fees Additional	Platinum Check Reorder*	\$17.50	Per Re-Order
Procash Fees Additional Resource Checking Return Check \$25.00 Per Item	Procash Fees Additional	Reorder Business Style Check Binder	\$20.00	Per Order
_	Procash Fees Additional	-		Per Item
	Procash Fees Additional	Resource Checking Stop Payment	\$25.00	Per Item

Procash Fees Additional	Returned Checks	\$25.00	Pe Item
Procash Fees Additional	Silver Check Reorder*	\$17.50	Per Order
Procash Fees Additional	Stop Payment	\$25.00	Per Item
Mutual Fund Surcharge	No-load FundServ	\$10.00	Per Item
(FDSV)	ive load randserv	710.00	T CT ICCITI
Mutual Fund Surcharge	No Load Non FundServ	\$10.00	Per Item
(FDSV)			
Mutual Fund Surcharge	Load FundServ	\$10.00	Per Item
(FDSV)			
Mutual Fund Surcharge	Load Non FundServ	\$10.00	Per Item
(FDSV)			
Mutual Fund Exchanges	Mutual Fund Exchange	\$4.00	Per Item
Mutual Fund Exchanges	Mutual Fund Share Class Exchange	\$4.00	Per Item
Mutual Fund Exchanges	SRS Exchange	\$4.00	Per Item
Confirmation Fee	Retail Customer Confirmation	\$2.00	Per Confirmation
Confirm/Statement Paper	Confirm Paper Surcharge	\$0.75	Per Confirmation
Surcharge			
Confirm/Statement Paper	Statement Paper Surcharge	\$0.75	Per Confirmation
Surcharge			
Special Statement	Year End Account Report	\$3.00	Per Statement
Production			
Special Statement	Forced Customer Statements	\$1.00	Per Statement
Production	5d Clated	64.00	D. Chalana
Special Statement Production	Forced Statement	\$1.00	Per Statement
Portfolio Evaluation	Alb Port Perf - Basic- QRT E delivery	\$18.75	Per Event
Services	Alb Fort Ferr - Basic-Qit's Lidelivery	\$10.75	rei Lveiit
Portfolio Evaluation	Alb Port Perf - PLUS- QRT E delivery only	\$31.25	Annually
Services		751.25	, unidany
Portfolio Evaluation	Online Performance PLUS Package	\$12.00	Annually
Services			,
Portfolio Evaluation	PES Tax Lot Accounting TPG	\$18.00	Annually
Services			
Portfolio Evaluation	PES Tax Lot Accounting TP2	\$18.00	Annually
Services			
Portfolio Evaluation	PES Tax Lot Accounting TP4	\$18.00	Annually
Services		4	
Portfolio Evaluation	PES Tax Lot Accounting TP5	\$18.00	Annually
Services Dertfelie Evaluation	DEC Toy Lot Assessation TDO	¢10.00	Appueller
Portfolio Evaluation	PES Tax Lot Accounting TP9	\$18.00	Annually
Services Portfolio Evaluation	PES Tax Lot Accounting TPY	\$18.00	Annually
Services	FLS Tax LOT ACCOUNTING IFT	\$10.00	Allitually
Special Product Fees	Special Prod Registered Annual Fee	\$35.00	Annually
Special Product Fees	Special Prod Registered Transfer Fee	\$35.00	Annually
Special Fibuuct Fees	Special Flou negistered Hallster Fee	333.00	Allitually

Special Product Fees	Special Prod UN Registered Annual Fee	\$125.00	Annually
Special Product Fees	Special Prod UN Registered Transfer Fee	\$125.00	Per Transfer
Trade Related Processing	Alternative Investment Network	\$50.00	Per Event
Fees	Processing Fees		
Clearing & Execution	Mutual Funds	\$10.00	Per Transaction

- *Fee includes additional markup by IPG in excess of clearing firm fee. Markup is utilized by IPG to offset operational costs and any difference should be viewed as additional compensation to IPG. IPG may share a portion of the excess markup with its Financial Professionals.
- **These charges are in addition to your agreed upon advisory fee. Fees apply to advisory accounts with custody maintained at Pershing, LLC. Advisory accounts serviced by IPG with custody outside Pershing are subject to fees applicable to the client's designated custodian. Clients should review their respective custodial agreements upon establishing their asset custodial arrangement.
- ***An inactive account is defined as an account holding a security position for a calendar year (Jan. 1-Dec. 31) without generating a trade, or free credit/margin interest of \$100 or more. Systematic reinvestment activity in Mutual Funds (SYS system) and/or exchange activity in mutual funds (FundServ) will be considered as a trade. However, dividend reinvestment activity is not considered as a trade.
- **** **Data Access Services** or Datamart Set-Up and Monthly Fees will be quoted on an individual basis and are not included in above fee schedule.
- *****SEC or other local market (non-US) fees are beyond IPGs control and applicable by Country Please call us for pricing.
- ****** Free Credit Balances on Foreign Currency Custodian Charges apply to accounts holding credit balances on foreign currencies based on thresholds and Bank rates. Charges will be reported as Free Credit Balance Interest Charge on NetX360 and account statements. They will be reported on client's annual tax Forms 1099 and/or 1042-S. Charges vary by currency. Please call your account executive for specific currency charges.